

A holographic model of the fractional quantum Hall effect

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Talk is divided into two parts

- PART I : Holographic quantum Hall fluid

- PART II : Holographic striped instability and zero sound

Outline

- Motivation

- Part I

 - Brief reminder of QHE

 - D3-D7' system as a FQH fluid

 - Fluctuation spectrum, e.g., magneto-roton

 - Phase structure

 - Conclusions and open questions

- Part II

 - Key observations about zero sound and stripes

 - D3-D7' system as a Fermi-like fluid

 - Fluctuation spectrum, e.g., zero-sound

 - Striped instability

 - Conclusions and outlook

Begin part I : Main references

□ Oren Bergman, NJ, Gilad Lifschytz, and Matthew Lippert,
“Quantum Hall Effect in a Holographic Model,”
JHEP **1010** (2010) 063 [arXiv:1003.4965 [hep-th]].

□ NJ, GL, and ML,
“Magneto-roton excitation in a holographic quantum Hall fluid,”
JHEP **1102** (2011) 104 [arXiv:1012.1230 [hep-th]].

General idea

- ❑ Use AdS/CFT.
- ❑ Learn about phenomena associated with low-energy physics in strongly coupled systems.
(superconductivity, confinement, chiral symmetry breaking, FQHE, . . .)
- ❑ Maybe:
 - New computational tools
 - New effective models
- ❑ Maybe²: New understanding

Point of view

- ❑ Start with a system we understand and explore phenomena which arise within it
- ❑ Extra criterion (maybe false): system with only fermion matter in the fundamental representation of some group
- ❑ More specific: a model with $(2+1)$ -dimensional fermions interacting with $(3+1)$ -dimensional gauge fields \rightarrow D3-D7' systems

[S.J. Rey]

[J. Davis, P. Kraus, A. Shah]

[Myers, Wapler]

[J. Alanen, E. Keski-Vakkuri, P. Kraus, V. Suur-Uski]

[NJ et al.]

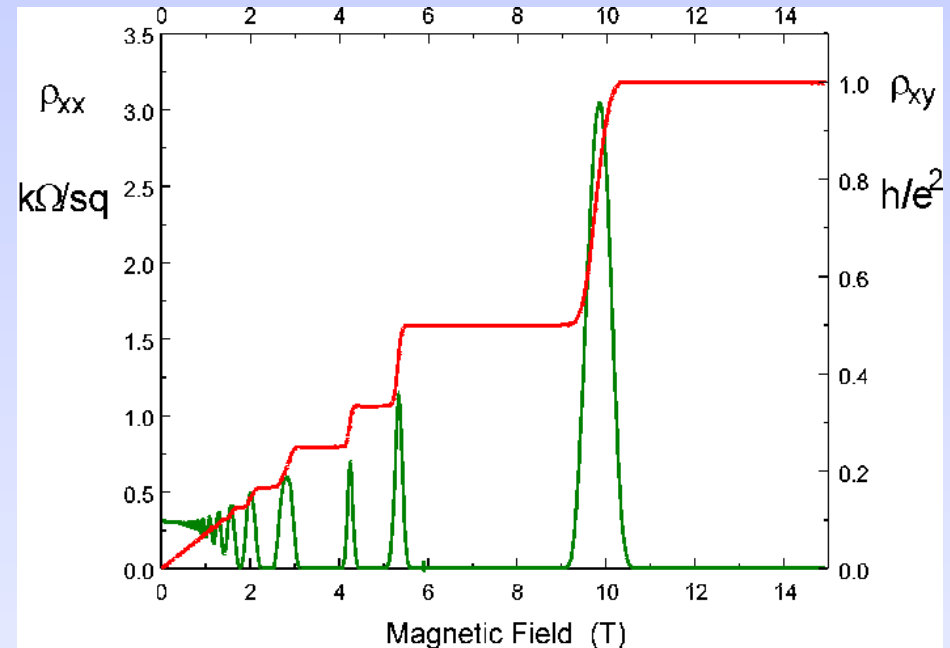
- ❑ The D3-D7' has a variety of interesting phenomena. First we will concentrate on QH fluid.

Quantum Hall Effect

- ❑ Charged particles in 2+1 dimensions with a perpendicular magnetic field. A series of plateaux

$$\sigma_{xx} = 0 \quad , \quad \sigma_{xy} = \nu \frac{e^2}{h}$$

$$\nu \equiv \frac{n_e}{2\pi B} = \begin{cases} \text{integers} \\ \text{certain fractions} \end{cases}$$



- ❑ Integer ν is understood from Landau levels plus impurities.
- ❑ Fractional ν is a strong coupling effect. Interesting phenomena include: fractional charge and anyonic statistics of charged excitations, independence of the material, incompressible fluid, edge states etc.
- ❑ Different descriptions: Laughling wave function, Chern-Simons theory, Jain's composite fermions

D3-D7' system

	t	x	y	z	r	ψ	S^2	S^2
D3	■	■	■	■				
D7	■	■	■		■		■	■

$$d\Omega_5^2 = d\psi^2 + \cos^2 \psi d\Omega_2^{(1)2} + \sin^2 \psi d\Omega_2^{(2)2}$$

- $\#ND = 6 \rightarrow$ only fundamental fermions.
- D7' embedding $z(r), \psi(r)$.
- Use DBI+CS.
- However, there is an instability.

The instability

$$\psi \sim \psi_\infty + Ar^\Delta \quad , \quad \text{as } r \rightarrow \infty ,$$

find Δ complex $\rightarrow \psi$ is tachyonic.

□ One can turn on flux through the two S^2

$$2\pi\alpha' F = \frac{L^2}{2} \left(f_1 d\Omega_2^{(1)} + f_2 d\Omega_2^{(2)} \right) , \quad f_i = \frac{2\pi\alpha'}{L^2} n_i$$

Study the equation of motion and find

$$(f_1^2 + 4 \cos^4 \psi_\infty) \sin^2 \psi_\infty = (f_2^2 + 4 \sin^4 \psi_\infty) \cos^2 \psi_\infty$$

and

$$\Delta_{\pm} = -\frac{3}{2} \pm \frac{1}{2} \sqrt{9 + 16 \frac{f_1^2 + 16 \cos^6 \psi_\infty - 12 \cos^4 \psi_\infty}{f_1^2 + 4 \cos^6 \psi_\infty}} .$$

□ Turning on fluxes stabilizes the system and gives many more possibilities for ψ_∞ .

More details

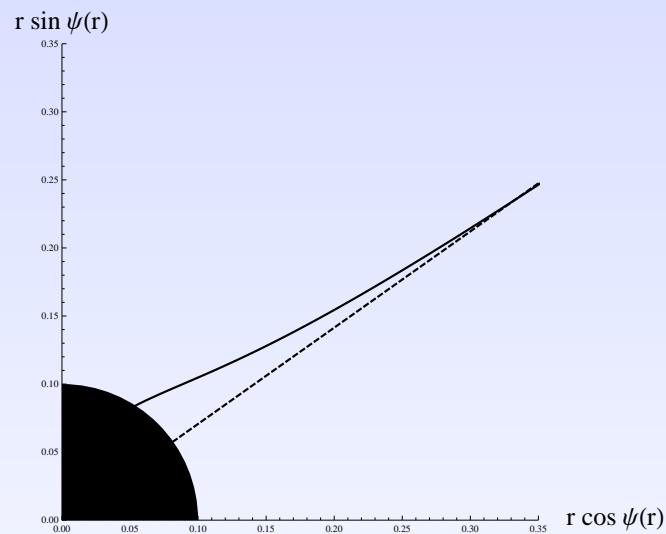
$$\psi \sim \psi_\infty + \underbrace{m}_{\text{mass } m_q} r^{\Delta_+} - \underbrace{c}_{\text{condensate } \langle \bar{q}q \rangle} r^{\Delta_-}, \quad \text{as } r \rightarrow \infty$$

- Remark on dimensions.
- Solutions of the equations of motion for the D7' are either BH-type (enter the horizon at some r_T) or MN-type $\psi(r_0) = 0, \pi/2$ (one of the two S^2 's shrinks at some r_0)
- For the latter one, the shrinking S^2 can not carry any flux, and we choose $f_1 = 0$. (This is why $S^2 \times S^2$ is better than S^4 .) In this case $z(r) = \text{constant}$.

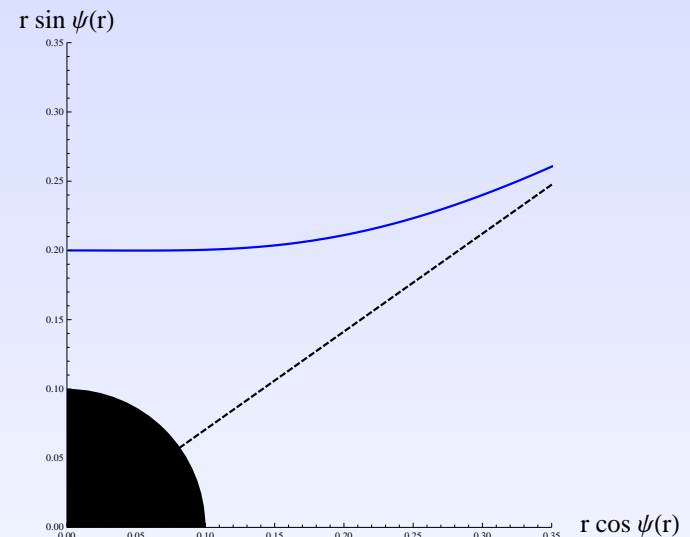
Embedding

$$S_{DBI} = -2\mathcal{N} \int dr r^2 \cos^2 \psi \sqrt{(f_2^2 + 4 \sin^4 \psi)(1 + hr^2 \psi'^2)}, \quad (1)$$

where $h(r) = 1 - \frac{r_T^4}{r^4}$.



D7 enters the horizon



D7 ends where S^2 shrinks

Add charge and magnetic field

- We can turn the $U(1)$ gauge fields on the D7' brane corresponding to charge density and magnetic field:

$$2\pi\alpha' F_{r0} = a'_0(r) \quad , \quad 2\pi\alpha' F_{xy} = b \ .$$

- This changes the DBI action but more importantly adds a CS term:

$$S_{CS} = 2\mathcal{N} \int dr c(r) b a'_0(r) \ , \ c(r) = \psi(r) - \frac{1}{4} \sin(4\psi(r)) - \psi_\infty + \frac{1}{4} \sin(4\psi_\infty) \ .$$

- Usually in MN embedding it is not possible to add charge, since this is translated to having sources at the tip, which would pull the D7-brane down to the horizon.

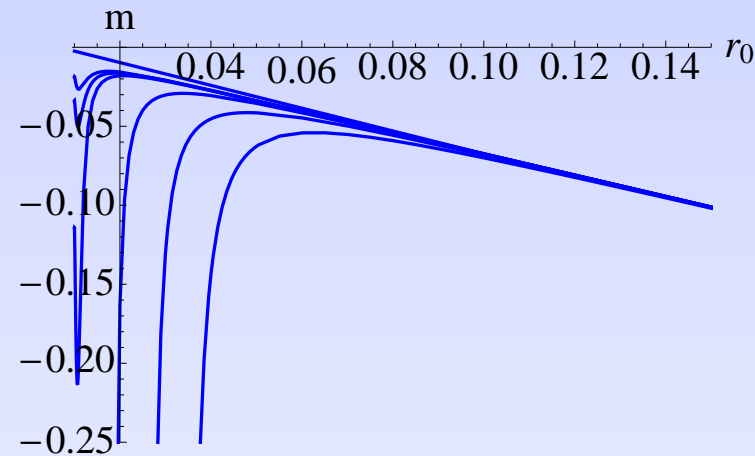
Add charge and magnetic field

- Due to CS term one can have non-zero charge without sources, but the amount of charge is fixed by the requirement of no source at the tip,

which means (d is the total charge, in some units)

$$d - 2bc(r_0) = 0.$$

In other words all of the charge is induced by the RR five-form.



- The filling fraction

$$\nu = \frac{2\pi D}{B} = \frac{2N_3 c(r_0)}{\pi}, \quad 0.6972 \lesssim \frac{\nu}{N_3} \lesssim 0.8045.$$

- To change the filling fraction we need to change ψ_∞ .
- Filling fraction is quantized because of f_2 and since it is the density of open strings divided by the density of D5-branes.

MN as QH fluid

□ B and D are locked together.

□ We can compute the longitudinal and transverse conductivities by turning on an electric field and requiring no sources at the tip:

$$\sigma_{xx} = \frac{J_x}{E} = 0 \quad , \quad \sigma_{xy} = \frac{\nu}{2\pi} .$$

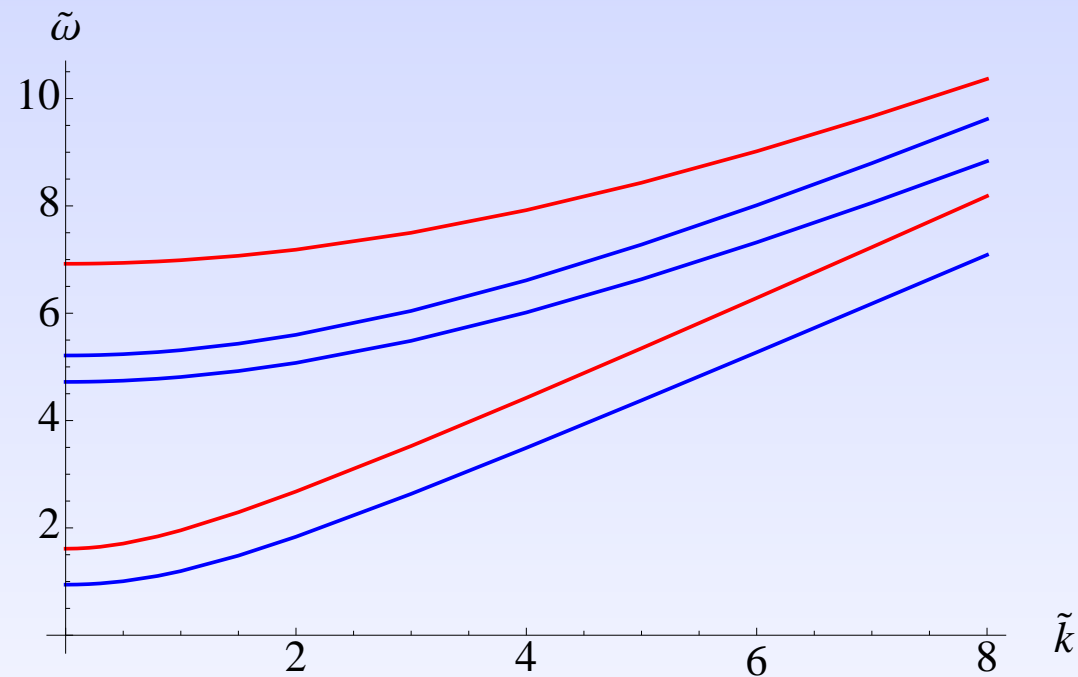
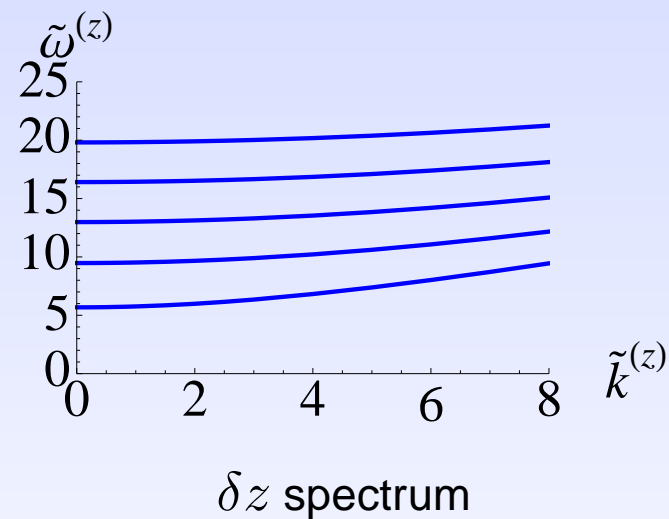
□ So, charged excitations are gapped (which is also clear from the embedding). Expected mass from this picture is of order $(g_{YM}^2 N_3)^{1/4} \sqrt{B}$.

□ Compute fluctuations of the D7'-brane, find that neutral excitations are also gapped \rightarrow incompressible fluid. Mass computed of order $(g_{YM}^2 N_3)^{-1/4} \sqrt{B}$.

Small fluctuation analysis

- Spectra for small fluctuations, at zero temperature and $k_\mu = \sqrt{b} \tilde{k}_\mu / L$,

$$\tilde{\omega} = \sqrt{\tilde{\omega}_0^2 + c_s^2 \tilde{k}^2}.$$



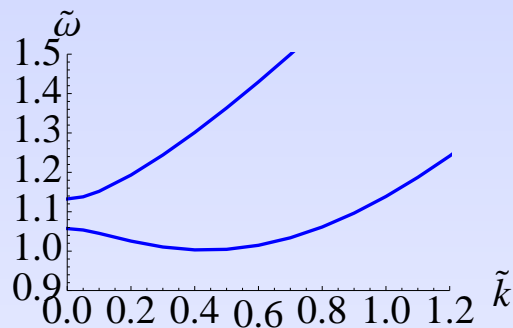
Other fluctuations couple at nonzero momentum.

- The system is stable and gapped.

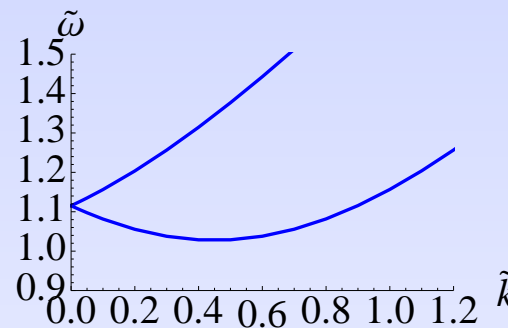
Magneto-roton excitation

- Depending on the density (magnetic field) one finds a magneto-roton:

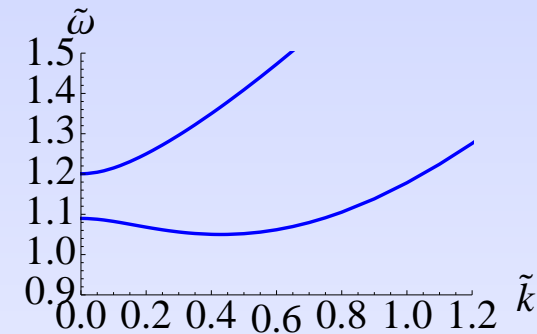
$$\tilde{\omega}^2 = \sqrt{\tilde{\omega}_*^2 + c_s^2(\tilde{k} - \tilde{k}_*)^2}.$$



Small intermediate b .

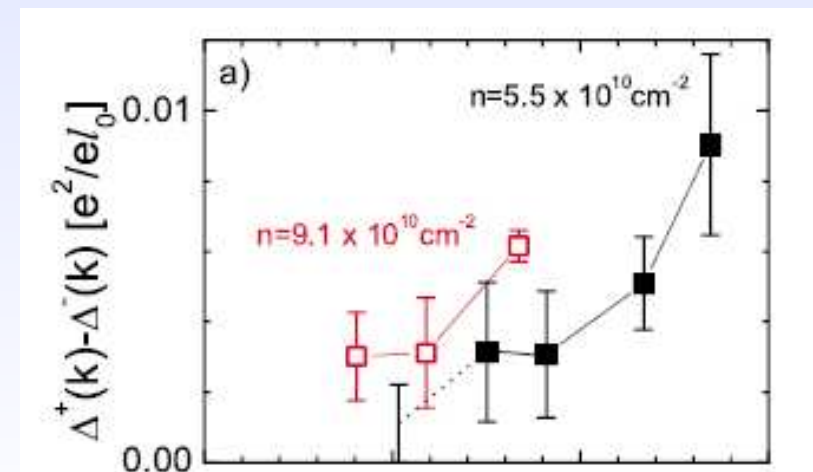


Intermediate b .



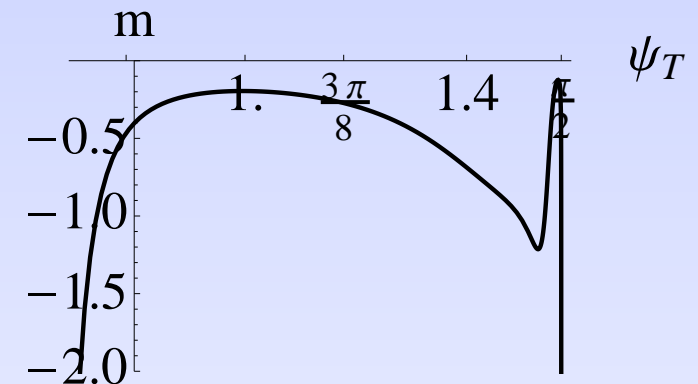
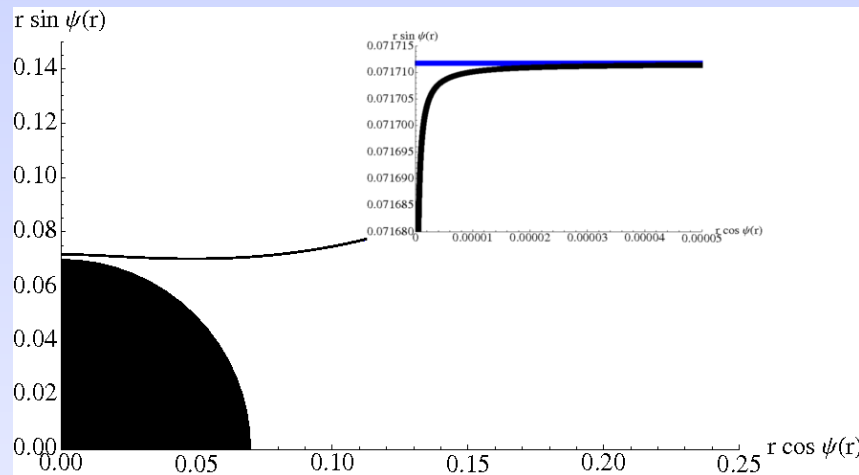
Large intermediate b .

- Recently observed for $\nu = 1/3$.
[Hirjibehedin,Dujovne,Pinczuk,Dennis,Pfeiffer,West '05]
- In our model we find it for all ν , but depends on the density (magnetic field).
- The roton minimum decreases with decreasing filling fraction.



BH is metallic

- When we change slightly the relationship between d and b , only BH embeddings exist, but (some of) those are “semi-smoothly” connected to the MN embeddings.



- The conductivities can be computed (note, QGP for $d = T = b = m = 0$)

$$\sigma_{xx} = \frac{N_3}{2\pi^2} \frac{r_T^2}{b^2 + r_T^4} \sqrt{\tilde{d}(r_T)^2 + (f_1^2 + 4 \cos^4 \psi(r_T))(f_2^2 + 4 \sin^4 \psi(r_T))(b^2 + r_T^4)}$$

$$\sigma_{xy} = \frac{N_3}{2\pi^2} \left(\frac{b}{b^2 + r_T^4} \tilde{d}(r_T) + 2c(r_T) \right), \quad \tilde{d}(r_T) = d - 2c(r_T)b.$$

- Anomalous QH for $\sigma_{xy}(b = 0) \neq 0$ and resistivity saturation $\rho_{xx}(T \rightarrow \infty) \rightarrow \text{const.}$

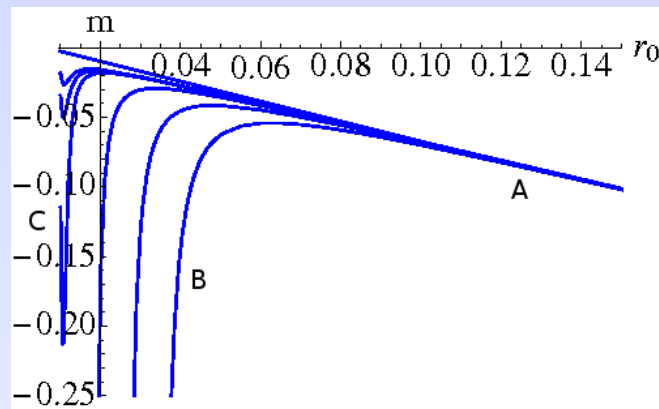
BH is magnetic

- ❑ For $m = 0$ and for small b , the system is paramagnetic for small T and diamagnetic for large T .
- ❑ For $m = 0$ and for large b , the system is diamagnetic for all T .
- ❑ Ferromagnet for $m \neq 0$:

$$M(b = 0) = 2\mathcal{N} \int dr c(\psi) a'_0(b = 0) .$$

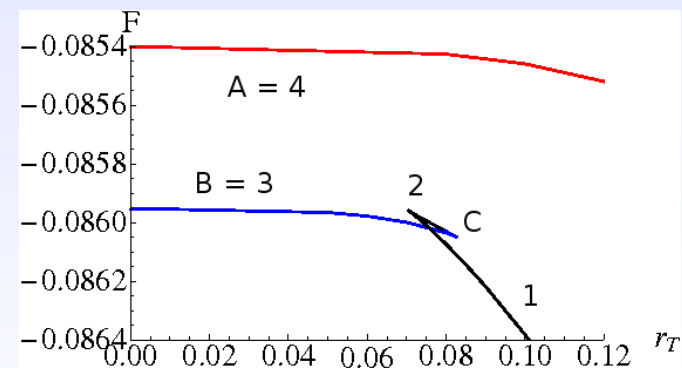
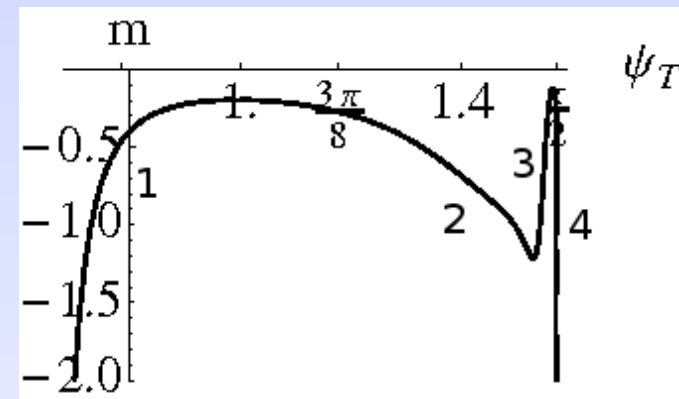
Different phases

- There are at least 2 MN and a few BH solutions. While as a function of a magnetic field MN deforms to a BH, as a function of temperature there is a first order phase transition.



- Small fluctuation analysis \rightarrow blue is stable but red is tachyonic.

[NJ, Lifschytz, Lippert]



Part I : Conclusions and open questions

Holographic model of a QHF of strongly interacting charged fermions in 2+1 dimensions:

- ❑ States with $\sigma_{xx} = 0$ and quantized σ_{xy} (but not rational)
- ❑ Evolve into conducting states as the density varies relative to the magnetic field
- ❑ First order conductor/QHF phase transition at finite temperature
- ❑ Excitation spectra: stable and gapped. Also magneto-roton excitation.

Things to understand better:

- ❑ Fractional charge?
- ❑ Edge states?
- ❑ Plateaux - impurities?
- ❑ Transitions between plateaux?
- ❑ Universal properties? (Other Dp - Dq' systems are available via T-duality.)

Begin part II : Main references

- Oren Bergman, NJ, Gilad Lifschytz, and Matthew Lippert,
“Quantum Hall Effect in a Holographic Model,”
JHEP **1010** (2010) 063 [arXiv:1003.4965 [hep-th]].
- OB, NJ, GL, and ML,
“Striped instability of a holographic Fermi-like liquid,”
JHEP **1110** (2011) 034 [arXiv:1106.3883 [hep-th]].
- NJ, GL, and ML,
to appear.

Key points

Zero sound

- ❑ In a system of interacting fermions at low temperature there exists a collective excitation known as the zero sound.

[Landau, 1957]

- ❑ Observed in liquid He-3 in the 60's.
- ❑ Temperature dependence, at low T , of the zero sound mode is described by Landau Fermi-liquid theory.
- ❑ D3-D7' zero sound at non-zero T behaves exactly as Landau Fermi-liquid zero sound.

Stripes

- ❑ D3-D7' in the BH phase is holographically dual to spatially modulated phase of holographic matter at large enough density.
- ❑ The spatial translation is spontaneously broken.
- ❑ The true ground state of the system resembles that of charge density wave and spin wave.

BH embedding as a Fermi-like fluid

- Keep $f_1 \neq 0 \neq f_2$. We will thus only consider BH embeddings from now on.
- The BH embedding is gapless. This can be verified by the fluctuation analysis, e.g., the diffusion mode.
- Recall the conductivities from before, at $b = 0$:

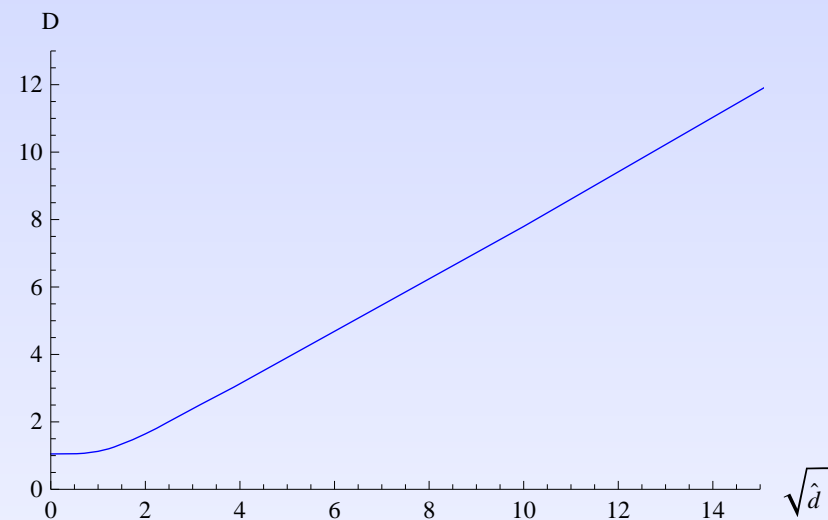
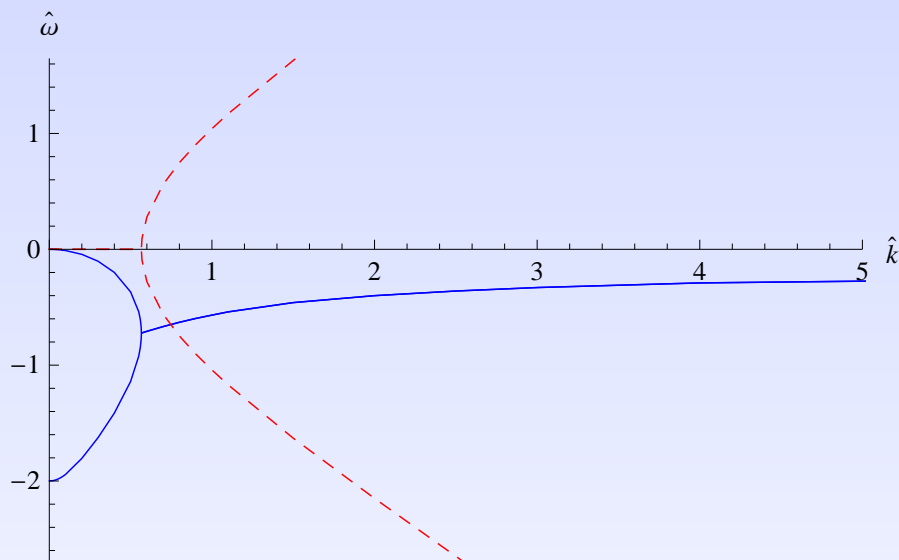
$$\begin{aligned}\sigma_{xx}(b=0) &= \frac{N_3}{2\pi^2} \frac{1}{r_T^2} \sqrt{d^2 + r_T^4 (f_1^2 + 4 \cos^4 \psi(r_T))(f_2^2 + 4 \sin^4 \psi(r_T))} \\ \sigma_{xy}(b=0) &= \frac{N_3}{2\pi^2} \cdot 2c(r_T) .\end{aligned}$$

- At low temperatures but non-zero densities we have $\sigma_{xx} \sim \frac{d}{T^2}$. This matches the expectation from the Fermi liquid theory.
- The heat capacity goes as $C_v \sim \frac{T^4}{d}$, unlike that for a regular Fermi liquid.

Zero sound at non-zero temperature

□ The diffusion mode $\hat{\omega} \sim -iD\hat{k}^2 + \dots$

□ Find $D \sim \sqrt{\hat{d}}$, where $\hat{d} = \frac{d}{r_T^2}$.

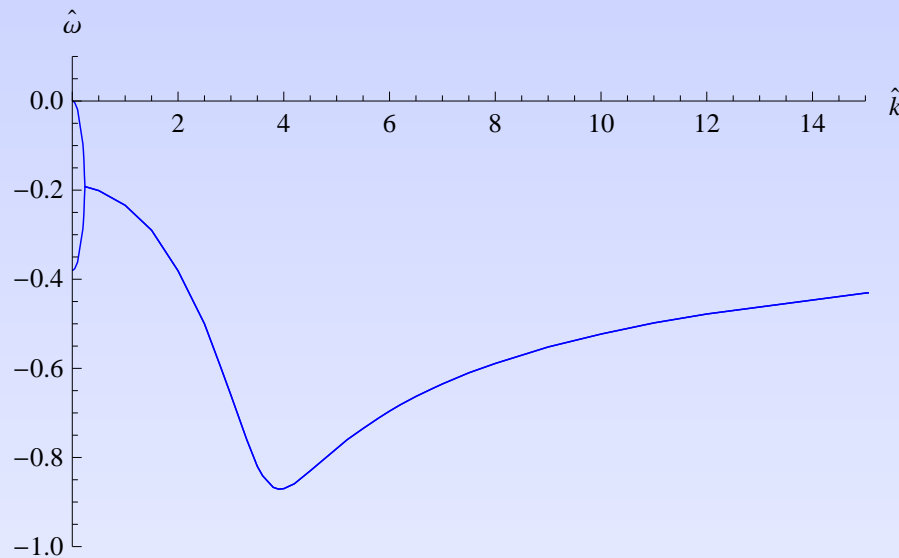


□ Transition from the hydrodynamic regime to the collisionless regime. Noticed before in the susic D3-D7 system.

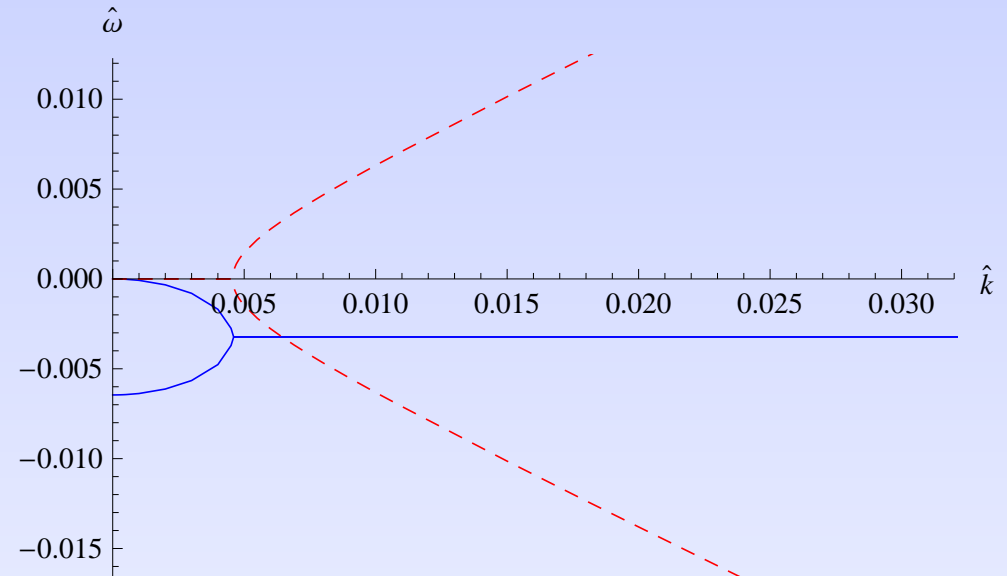
[Kaminski-Landsteiner-Mas-Shock-Tarrio]

Zero sound at non-zero temperature

- Interesting things happen when cooling down the system.



Intermediate temperature, $\hat{d} = 5$.



Very low temperature, $\hat{d} = 10^4$.

- One finds that the hydro mode has rendered into the zero sound:

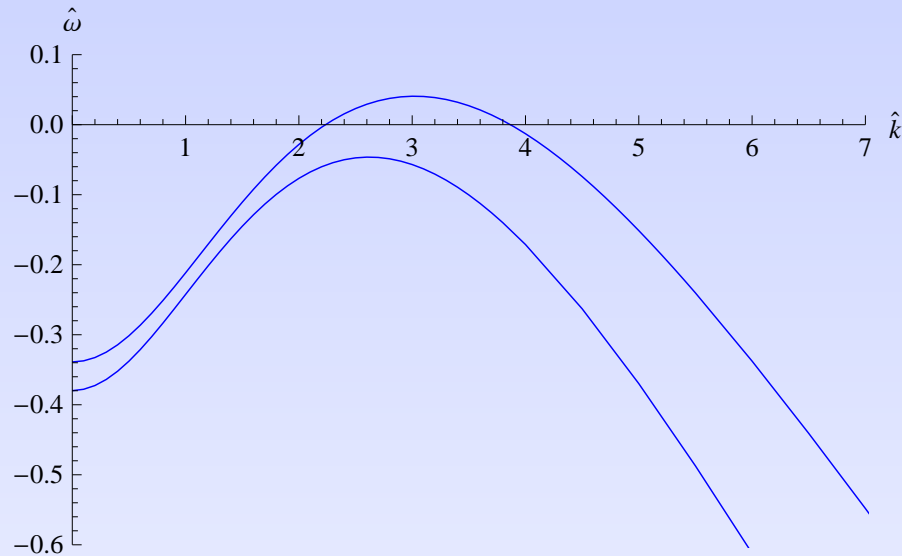
$$\hat{\omega} \sim v_s \hat{k} - ia \hat{k}^2 + \dots ,$$

whose speed equals to that of normal sound, $v_s = \frac{1}{\sqrt{2}}$.

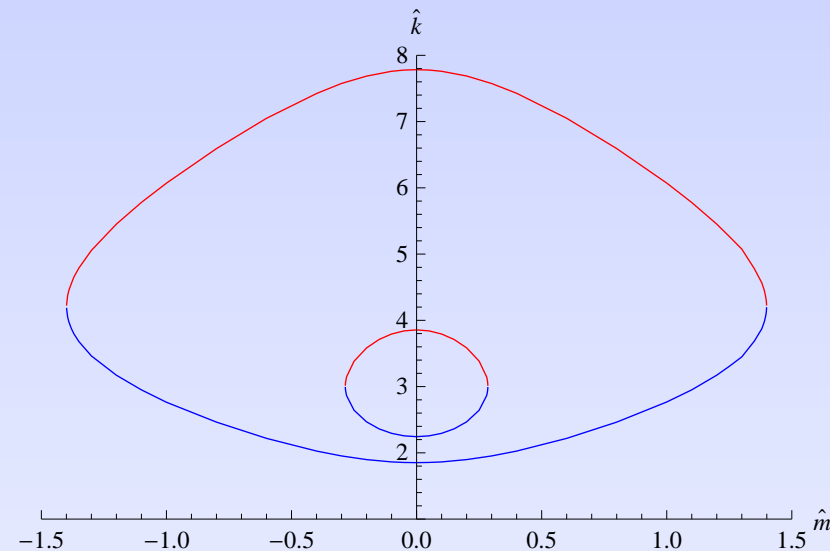
- By dialing up $b \neq 0$, the zero sound becomes massive above some critical value.

Striped instability

- ❑ The system is unstable towards decay to an inhomog. phase when $\hat{d} > \hat{d}_{critical}$.



The $\delta\psi, \delta a_y$ system at $\hat{m} = 0, \hat{d} = 5, 6$.



Domains of instability, $\hat{d} = 6, 10$.

- ❑ For $\hat{m} = 0$, the fermion bi-linear is spatially modulated \rightarrow spin-wave.
- ❑ As $|\hat{m}| > 0$ also the δa_t is involved \rightarrow charge-density wave.
- ❑ Notice, for $T = 0$, any non-zero density triggers the tachyon!
- ❑ Turning on b will eventually always tame the tachyon.

Generic phenomenon with CS terms

- Consider 4d Maxwell-axion Lagrangian:

$$\mathcal{L} = -\frac{1}{4}F_{IJ}F^{IJ} + \frac{1}{2}(\partial_I\Phi)^2 - \frac{1}{2}m^2\Phi^2 + \frac{\alpha}{2}\epsilon^{IJKL}\Phi F_{IJ}F_{KL}$$

in the background electric field $F_{03} = E$.

- Plug in waves $\sim e^{-i\omega t + ikx}$ to the resulting equations of motion and find the dispersion relation:

$$\omega^2 = k^2 + \frac{1}{2}m^2 \pm \frac{1}{2}\sqrt{m^4 + 64\alpha^2 E^2 k^2}.$$

Thus, there is a tachyon in the range $0 < k < \sqrt{16\alpha^2 E^2 - m^2}$.

- We believe that this is quite generic. Other examples include: D2-D8', Sakai-Sugimoto model, 11d SUGRA, etc.

[Donos-Gauntlett]

[Bayona-Peters-Zamaklar]

[NJ-MJ-ML to appear]

Conclusions and outlook

- ❑ Showed that D3-D7', in the black hole phase, resembles Fermi-like fluid.
- ❑ Found that the system has a zero sound mode which renders into a hydrodynamic mode at non-zero temperature.
- ❑ There must be a Fermi surface. Should be able to find it (needs backreaction).
- ❑ Noticed, that the true ground state of the system is inhomogeneous, which resembles that of spin-wave and charge density wave. One should be able to construct it directly!