#### **Absorption of scalars by** *d***-dimensional string corrected black holes**

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## Leading $\alpha'$ corrections

Effective action in the Einstein frame

$$\frac{1}{16\pi G}\int\sqrt{-g}\left[\mathcal{R}-\frac{4}{d-2}\left(\partial^{\mu}\phi\right)\partial_{\mu}\phi+\lambda\,\mathsf{e}^{\frac{4}{d-2}(1+w)\phi}Y(\mathcal{R})\right]\mathsf{d}^{d}x,$$

 $Y(\mathcal{R})$ : scalar polynomial in the Riemann tensor with conformal weight w.

 $\lambda$  : suitable power of  $\alpha'$ , up to a numerical factor.

Field equations

$$\mathcal{R}_{\mu\nu} + \lambda e^{\frac{4}{d-2}(1+w)\phi} \left( \frac{\delta Y(\mathcal{R})}{\delta g^{\mu\nu}} + \frac{1}{d-2} Y(\mathcal{R}) g_{\mu\nu} - \frac{1}{d-2} g_{\mu\nu} g^{\rho\sigma} \frac{\delta Y(\mathcal{R})}{\delta g^{\rho\sigma}} \right) = 0;$$
  
$$\nabla^2 \phi - \frac{\lambda}{2} e^{\frac{4}{d-2}(1+w)\phi} Y(\mathcal{R}) = 0.$$

## **Background black hole solution**

Asymptotically flat, spherically symmetric metric in the Einstein frame of the type

$$ds^{2} = -f(r) dt^{2} + g^{-1}(r) dr^{2} + r^{2} d\Omega_{d-2}^{2};$$

• General assumption for the  $\alpha'$  corrected solution:

$$f(r) = f_0(r) \left( 1 + \frac{\lambda}{R_H^{2n}} f_c(r) \right), \ g(r) = f_0(r) \left( 1 + \frac{\lambda}{R_H^{2n}} g_c(r) \right).$$

- Tangherlini solution:  $f_0(r) =: f_0^T(r) = 1 \left(\frac{R_H}{r}\right)^{d-3};$
- The most general form we will be considering, in order to encode string effects:

$$f_0(r) = c(r) \left( 1 - \left(\frac{R_H}{r}\right)^{d-3} \right).$$

## **Scattering Theory**

Classical result in EH gravity - for any spherically symmetric black hole in arbitrary d, the absorption cross-section of minimally-coupled massless scalar fields equals the area of the black hole horizon in the low-frequency limit (Das, Gibbons, Mathur, 1997):

$$\sigma = A_H = 4GS.$$

- The result is analogous for higher spin fields.
- Is such result generalized with the inclusion of higher-derivative corrections?
- (Related to the  $\alpha'$  corrections of  $\frac{\eta}{s}\Big|_{\alpha'=0} = \frac{1}{4\pi}$ .)

#### A test scalar field

- Let's consider a massless minimally coupled test scalar field  $\mathcal{H}$ .
- Without  $\alpha'$  corrections, it obeys the KG equation

$$\frac{1}{\sqrt{-g}}\partial_{\mu}\left[\sqrt{-g}g^{\mu\nu}\partial_{\nu}\mathcal{H}\right] = 0.$$

This field can be redefined and expanded as

$$\Phi(t, r, \theta) = k(r)\mathcal{H}(t, r, \theta) = \sum_{\ell} \Phi_{\ell}(t, r)Y_{\ell 0\dots 0}(\theta).$$

 $Y_{\ell,\varphi_1,..,\varphi_{d-3}}(\theta)$  : spherical harmonics defined over  $S^{d-2}$ .  $Y_{\ell 0...0}(\theta) \sim C_{\ell}^{\frac{d-3}{2}}(\cos \theta)$  (Gegenbauer polynomials).  $k_0(r) = r^{\frac{d-2}{2}}$ .

#### The scalar field equation

 $\checkmark$   $\mathcal H$  obeys therefore a field equation of the type

$$\partial_t^2 \mathcal{H} - F^2(r) \; \partial_r^2 \mathcal{H} + P(r) \; \partial_r \mathcal{H} + Q(r) \; \mathcal{H} = 0.$$

F(r), P(r), Q(r) are functionals of the metric and its derivatives, namely of the functions f(r), g(r).

• For pure gravity (in the absence of  $\alpha'$  corrections) in *d* dimensions, it is not difficult to obtain such functionals:

$$F = \sqrt{fg},$$
  

$$P = -f\left[(d-2)\frac{g}{r} + \frac{1}{2}(f'+g')\right],$$
  

$$Q = \frac{\ell(\ell+d-3)}{r^2}f + \frac{(g-f)f'}{r}.$$

#### A field equation with a potential

Taking

$$k(r) = \frac{1}{\sqrt{F}} \exp\left(-\int \frac{P}{2F^2} dr\right)$$

and replace  $\partial/\partial r$  by  $\partial/\partial r_*$ , with  $dr_* = \frac{dr}{F(r)}$ , the equation for  $\Phi$  may be written as a wave equation with a potential V[f(r), g(r)]:

$$\frac{\partial^2 \Phi}{\partial r_*^2} - \frac{\partial^2 \Phi}{\partial t^2} = \left(Q + \frac{F'^2}{4} - \frac{FF''}{2} - \frac{P'}{2} + \frac{P^2}{4F^2} + \frac{PF'}{F}\right) \Phi \equiv V\left[f(r), g(r)\right] \Phi.$$

For solutions with f(r) = g(r), a potential analogous to V[f(r), g(r)] has been obtained in *d* dimensions (Cardoso, Lemos (2002)).

## The field equation close to the horizon

- Close to the horizon, an **incoming** regular scalar field can only depend on *t* and *r* through their nonsingular combination, the incoming Eddington–Finkelstein coordinate  $v = t + r_* : \frac{\partial \mathcal{H}_{in}}{\partial u} \Big|_{\text{horizon}} = 0.$
- ▲ Also, an outgoing regular scalar field can only depend on t and r through their nonsingular combination, the outgoing Eddington–Finkelstein coordinate  $u = t - r_*$ :  $\frac{\partial \mathcal{H}_{out}}{\partial v}\Big|_{horizon} = 0.$
- Combining the two possible solutions,  $\frac{\partial}{\partial u} \frac{\partial}{\partial v} \mathcal{H}|_{\text{horizon}} = 0.$
- This statement is independent of the action: it always gives us close to the horizon a second order field equation for the massless scalar (Paulos (2010)).

#### The $\lambda$ -corrected scalar field equation

- At infinity, curvature corrections vanish and we also have a second order field equation for the massless scalar.
- This way H still obeys

$$\partial_t^2 \mathcal{H} - F^2(r) \ \partial_r^2 \mathcal{H} + P(r) \ \partial_r \mathcal{H} + Q(r) \ \mathcal{H} = 0.$$

F(r), P(r), Q(r) are functionals of the metric and its derivatives with explicit  $\lambda$  corrections:

$$F = F_0 + \lambda F_1, P = P_0 + \lambda P_1, Q = Q_0 + \lambda Q_1,$$

which give a  $\lambda$ -corrected potential. Also  $H = H_0 + \lambda H_1, k(r) = k_0(r) + \lambda k_1(r).$ 

#### **Example of a** $\lambda$ **-corrected potential**

- At order λ = 0, the potential in *d*-dimensions found by Cardoso and Lemos is the same that governs tensor type metric perturbations (Ishibashi, Kodama (2003)). That does not need to be the case in the presence of λ corrections.
- We get for the tensor perturbations

$$\begin{split} F &= \sqrt{fg} \left( 1 + \alpha' \frac{f' - g'}{4r} \right), \\ P &= -f \left[ (d-2) \frac{g}{r} + \frac{1}{2} \left( f' + g' \right) \right. \\ &+ \frac{\alpha'}{4r^2} \left( 4(d-4) \frac{g(1-g)}{r} + rg' \left( f' - g' \right) - 4gg' + 2(d-2)gf' \right) \right] \\ Q &= \frac{\ell \left( \ell + d - 3 \right)}{r^2} f + \frac{(g-f)f'}{r} \\ &+ \frac{\alpha'}{2r^2} \left[ \frac{\ell \left( \ell + d - 3 \right)}{r} f \left( 2 \frac{1-g}{r} + f' \right) + (g-f)f'^2 \right]. \end{split}$$

#### The string-corrected tensor potential

$$\begin{split} V_{\mathsf{T}}[f(r),g(r)] &= \frac{1}{16r^2 fg} \left[ (16\ell(\ell+d-3)f^2g+r^2f^2f'^2+3r^2g^2f'^2-2r^2f(f+g)f'g' \\ &- 4r^2fg(g-f)f''+16rfg^2f'+4r(d-6)f^2gf' \\ &+ 4(d-2)rf^2gg'+4(d-4)(d-2)f^2g^2 \right] \\ &+ \frac{\alpha'}{32r^4fg} \left[ 32\ell(\ell+d-3)f^2(1-g)g+16\ell(d+\ell-3)f^2gf'r \\ &+ 3r^3g^2f'^2(f'-g')-r^3f^2f'^2(f'-g')-2r^3fgf'(f'-g')g' \\ &+ 2r^3fg^2\left(-3f'f''+2g'f''+f'g''\right)-4r^3f^2gf'(f''-g'') \\ &- 2r^3f^2gg'(f''-g'')-4r^3f^2g^2\left(f^{(3)}-g^{(3)}\right) \\ &+ 18r^2fg^2f'^2-12r^2f^2gf'^2-10r^2f^2gg'^2-2r^2fg^2f'g' \\ &+ 2r^2(4d-13)f^2gf'g'+8r^2f^2g^2f''+8(d-5)r^2f^2g^2g'' \\ &+ 4r(d-4)^2f^2g^2(f'+g')+8rf^2g^2(g'-f') \\ &+ 8(d-4)rf^2g(f'+g'-4gg')+16(d-5)(d-4)f^2g^2(1-g) \right]. \end{split}$$

## **Scattering of scalars by black holes**

- We work in the low-frequency regime,  $R_H\omega \ll 1$ .
- This allows us to use the technique of matching solutions near the event horizon to solutions at asymptotic infinity (Unruh (1976); Moura, Schiappa (2006); Halmark, Natário, Schiappa (2007)).
- Only contribution to the cross section at low frequency: s-wave, with  $\ell = 0$ . This way we only consider  $\mathcal{H}_0 =: H$ .
- Solutions of the form  $H(r,t) = e^{i\omega t}H(r)$ .

## **Near-horizon solution (I)**

- The potential V[f(r), g(r)] vanishes.
- The master equation reduces to a simple free—field equation

$$\left(\frac{d^2}{dr_*^2} + \omega^2\right) \left(k(r)H(r)\right) = 0$$

whose solutions are purely incoming plane–waves in the tortoise coordinate:

$$H(r_*) = A_{\text{near}} e^{i\omega r_*}.$$

#### **Near-horizon solution (II)**

Very close to the event horizon,  $r \simeq R_H$ , one has

$$r_{*}(r) = \int \frac{1}{f_{0}(r)} \left( 1 - \frac{\lambda}{R_{H}^{2n}} \frac{f_{c}(r) + g_{c}(r)}{2} \right) dr$$
  
$$\simeq: \quad \frac{R_{H}}{(d-3)c(R_{H})} \left( 1 - \frac{\lambda}{R_{H}^{2n}} \frac{f_{c}(R_{H}) + g_{c}(R_{H})}{2} \right) \log\left(\frac{r - R_{H}}{R_{H}}\right) + \mathcal{O}\left(r - R_{H}\right)$$

#### and then

$$H(r) \simeq A \operatorname{near}\left(1 + i \frac{R_H \omega}{(d-3)c(R_H)} \left(1 - \frac{\lambda}{R_H^{2n}} \frac{f_c(R_H) + g_c(R_H)}{2}\right) \log\left(\frac{r - R_H}{R_H}\right)\right)$$

# **Asymptotic infinity solution (I)**

- We consider asymptotically flat black holes.
- At asymptotic infinity, again V[f(r), g(r)] vanishes.
- The master equation reduces to a free—field equation whose solutions are incoming or outgoing plane—waves in the tortoise coordinate.
- In the original radial coordinate,

$$H(r) = (r\omega)^{(3-d)/2} \left[ A J_{(d-3)/2}(r\omega) + B N_{(d-3)/2}(r\omega) \right].$$

## **Asymptotic infinity solution (II)**

• At low–frequencies, with  $r\omega \ll 1$ , such solution becomes

$$H(r) \simeq A_{\text{asymp}} \frac{1}{2^{\frac{d-3}{2}} \Gamma\left(\frac{d-1}{2}\right)} + B_{\text{asymp}} \frac{2^{\frac{d-3}{2}} \Gamma\left(\frac{d-3}{2}\right)}{\pi \left(r\omega\right)^{d-3}} + \mathcal{O}\left(r\omega\right).$$

In order to compute the absorption cross-section, one now needs to relate  $A_{near}$ ,  $A_{asymp}$  and  $B_{asymp}$ .

#### **Intermediate region solution (I)**

- $V(r) \gg \omega^2$ ,  $r\omega \ll 1$  (low-frequency constraint),  $\frac{r-R_H}{R_H} \gg (R_H \omega)^2$ .
- **•** To order zero in  $\lambda$ ,

$$\left[-f_0\frac{d}{dr}\left(f_0\frac{d}{dr}\right) + f_0\left(\frac{(d-2)(d-4)f_0}{4r^2} + \frac{(d-2)f_0'}{2r}\right)\right]\left(k_0H_0\right) = 0,$$

where, for any 
$$f(r)$$
,  
 $k_0(r) = \frac{1}{\sqrt{f}} \exp\left(\int \left(\frac{d-2}{2r} + \frac{f'}{2f}\right) dr\right) = r^{\frac{d-2}{2}}.$ 

This equation may be written as

$$\frac{d}{dr}\left(r^{d-2}f_0(r)\frac{d}{dr}H_0(r)\right) = 0.$$

## **Intermediate region solution (II)**

• The equation for  $H_0(r)$  can be written as:

$$H_0'' - \frac{P_0}{F_0^2} H_0' - \frac{Q_0}{F_0^2} H_0 = 0.$$

• Equation for  $H_1(r)$ :

$$H_1'' - \frac{P_0}{F_0^2} H_1' - \frac{Q_0}{F_0^2} H_1 = R(r),$$

$$R(r) = -\left(\frac{F_1}{F_0}\right)^2 H_0'' + \frac{P_1}{F_0^2} H_0' + \frac{Q_1}{F_0^2} H_0$$

• This is a **non-homogeneous** version of the (linear) equation for  $H_0(r)$ .

#### **Intermediate region solution (III)**

• General solution for  $H_0(r)$  :

$$H_0(r) = A_{\text{inter}}^0 + B_{\text{inter}}^0 \int \frac{dr}{r^{d-2} f_0(r)}.$$

• General solution for  $H_1(r)$  (and for H(r)):

$$H_1(r) = A_{\text{inter}}^1(r) + B_{\text{inter}}^1(r) \int \frac{dr}{r^{d-2}f_0(r)}$$

#### (variation of constants).

• Two independent solutions for  $H_0(r)$ :

$$h_1(r) = 1, \ h_2(r) = \int \frac{dr}{r^{d-2}f_0(r)}$$

#### **Intermediate region solution (IV)**

The wronskian matrix is

$$W(r) = \begin{bmatrix} h_1(r) & h_2(r) \\ h'_1(r) & h'_2(r) \end{bmatrix} = \begin{bmatrix} 1 & \int \frac{dr}{r^{d-2}f_0(r)} \\ 0 & \frac{1}{r^{d-2}f_0(r)} \end{bmatrix}$$

A particular solution given by

$$H_1^{\text{part}}(r) = v_1(r)h_1(r) + v_2(r)h_2(r),$$

with

$$\begin{bmatrix} v_1(r) \\ v_2(r) \end{bmatrix} = \int R(r) W^{-1}(r) \begin{bmatrix} 0 \\ 1 \end{bmatrix} dr.$$

## **Intermediate region solution (V)**

• The most general solution: add to  $H_1^{\text{part}}(r)$  the most general solution to the homogeneous equation, including the contributions  $H_0, H_1$  as  $H = H_0 + \lambda H_1$ :

$$H(r) = A_{\text{inter}} + B_{\text{inter}} \int \frac{dr}{r^{d-2}f_0(r)} + \lambda H_1^{\text{part}}(r).$$

It can be shown that the function  $H_1^{\text{part}}(r)$  is well defined, namely the indefinite integrals

$$v_1(r) = -\int R(r)r^{d-2}f_0(r)h_2(r) dr,$$
  
$$v_2(r) = \int R(r)r^{d-2}f_0(r) dr.$$

## **Intermediate region solution (VI)**

- The function  $H_1^{\text{part}}(r)$  has been explicitly checked to be **finite** and **subleading** when compared to  $H_0(r)$ .
- Near the horizon,

$$H(r) \simeq A_{\text{inter}} + \frac{B_{\text{inter}}}{(d-3)R_H^{d-3}c(R_H)} \log\left(\frac{r-R_H}{R_H}\right) + \cdots$$

At asymptotic infinity, one finds

$$H(r) \simeq A_{\text{inter}} - \frac{B_{\text{inter}}}{d-3} \frac{1}{r^{d-3}} + \cdots$$

#### **Calculation of the fluxes**

Matching coefficients:

$$A_{as} = 2^{\frac{d-3}{2}} \Gamma\left(\frac{d-1}{2}\right) A_{inter} = 2^{\frac{d-3}{2}} \Gamma\left(\frac{d-1}{2}\right) A_{near},$$
  

$$B_{as} = -\frac{\pi \omega^{d-3}}{2^{\frac{d-3}{2}} (d-3) \Gamma\left(\frac{d-3}{2}\right)} B_{inter}$$
  

$$= -\frac{i\pi (R_H \omega)^{d-2}}{2^{\frac{d-1}{2}} \Gamma\left(\frac{d-1}{2}\right)} \left(1 - \frac{\lambda}{R_H^{2n}} \frac{f_c(R_H) + g_c(R_H)}{2}\right) A_{near}.$$

- Near the black hole horizon the flux per unit area is  $J_{\text{near}} = \frac{1}{2i} \left( H^{\dagger}(r_*) \frac{dH}{dr_*} - H(r_*) \frac{dH^{\dagger}}{dr_*} \right) = \omega |A_{\text{near}}|^2.$
- At infinity, the flux per unit area is

$$J_{\text{as}} = \frac{1}{2i} \left( H^{\dagger}(r) \frac{dH}{dr} - H(r) \frac{dH^{\dagger}}{dr} \right) = \frac{2}{\pi} r^{2-d} \omega^{3-d} \left| A_{\text{as}} B_{\text{as}} \right|.$$

## The absorption cross-section

- General formula:  $\sigma = \frac{\int r^{d-2} J_{\text{asymp}} d\Omega_{d-2}}{J_{\text{near}}} = \frac{2}{\pi} \omega^{2-d} \frac{|A_{\text{asymp}} B_{\text{asymp}}|}{|A_{\text{near}}|^2} \Omega_{d-2}.$
- In our case,

$$\sigma = A_H \left( 1 - \frac{\lambda}{R_H^{2n}} \frac{f_c(R_H) + g_c(R_H)}{2} \right)$$

- $\bullet$   $\sigma$  is still given in terms of information at the horizon;
- is it related to the  $\alpha'$ -corrected black hole entropy?

#### **Thermodynamics: temperature**

Wick-rotate to Euclidean time  $t = i\tau$ ; the resulting manifold has no conical singularities as long as  $\tau$  is a periodic variable, with a period  $\beta = \frac{1}{T}$ .

• Smoothness condition:  $2\pi = \lim_{r \to R_H} \frac{\beta}{g^{-\frac{1}{2}}(r)} \frac{df^{\frac{1}{2}}(r)}{dr}$ , or

$$T = \lim_{r \to R_H} \frac{\sqrt{g}}{2\pi} \frac{d\sqrt{f}}{dr}.$$

In our case,

$$T = \frac{f_0'(R_H)}{4\pi} \left( 1 + \frac{\lambda}{R_H^{2n}} \frac{f_c(R_H) + g_c(R_H)}{2} \right)$$

The  $\alpha'$  correction to T is the same we obtained to  $\sigma$ , but with opposite sign.

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#### **Thermodynamics: entropy**

- Wald entropy:  $S = -2\pi G \int_{\Sigma} \frac{\partial \mathcal{L}}{\partial \mathcal{R}_{\mu\nu\rho\sigma}} \varepsilon_{\mu\nu} \varepsilon_{\rho\sigma} \sqrt{h} \, d\Omega_{d-2};$
- $\varepsilon_{tr} = \sqrt{\frac{f}{g}};$ • For  $Y(\mathcal{R}) = \mathcal{R}^{\mu\nu\rho\sigma}\mathcal{R}_{\mu\nu\rho\sigma},$   $8\pi G \frac{\partial \mathcal{L}}{\partial \mathcal{R}^{\mu\nu\rho\sigma}} \varepsilon^{\mu\nu} \varepsilon^{\rho\sigma} = \left(-\frac{f}{g} + \mathbf{e}^{\frac{4}{d-2}\phi} \frac{\alpha'}{4} f''\right) \frac{g}{f};$ • At order  $\lambda = 0, \ \mathcal{R}^{trtr} = \frac{1}{2}f'' = -\frac{1}{2R_{H}^{2}}(d-3)(d-2), \ \phi = 0,$  $f = g = f_{0};$
- One gets  $S = \frac{A_H}{4} \left( 1 + (d-3)(d-2)\frac{\alpha'}{4R_H^2} \right);$
- $\alpha'$ -corrections increase S for every value of d.
- This is a general result for solutions which are  $\alpha'$ -corrections to the Tangherlini black hole.

#### **The Callan-Myers-Perry black hole**

• For 
$$Y(\mathcal{R}) = \mathcal{R}^{\mu\nu\rho\sigma}\mathcal{R}_{\mu\nu\rho\sigma};$$

The only free parameter is the horizon radius  $R_H$  (secondary hair), which is not changed;

• 
$$f_0(r) =: f_0^T(r) = 1 - \left(\frac{R_H}{r}\right)^{d-3};$$

$$f_c(r) = g_c(r) = f_c^{CMP}(r) := -\frac{(d-3)(d-4)}{2} \left(\frac{R_H}{r}\right)^{d-3} \frac{1-\left(\frac{R_H}{r}\right)^{d-1}}{1-\left(\frac{R_H}{r}\right)^{d-3}}.$$

•  $\alpha'$ -corrected cross section:  $\sigma = A_H \left( 1 + \frac{(d-1)(d-4)}{2} \frac{\alpha'}{4R_H^2} \right).$ 

• One finds  $\sigma \neq 4GS$ .

# **Some questions**

- Cornalba et. al. (2006) found out that  $\sigma = 4GS$ , to all orders in  $\alpha'$ , for fundamental strings in the (small) black hole phase (BPS states of heterotic strings compactified on  $S^1 \times T^5$ ).
- Recently, Kuperstein/Murthy (2010) also found such agreement, to first order in  $\alpha'$ , for 1/4 BPS  $\mathcal{N} = 4$  supersymmetric black holes in d = 4, 5.
- Open questions: does that result only hold for supersymmetric black holes? What could be the minimal amount of supersymmetry for it to eventually hold? Does it hold for generic dimensions?

# Things to do

- Take the near-extremal limit and apply the formula for supersymmetric black holes;
- Verify the agreement with the shear viscosity obtained by the "pole method" (Paulos (2010)).
- Maybe derive a general solution for *d*-dimensional spherically symmetric α'-corrected black holes to all orders?
- See you next meeting!